

Electronic Acknowledgement Receipt	
EFS ID:	5320359
Application Number:	09996576
International Application Number:	
Confirmation Number:	3802
Title of Invention:	Financial risk management system and method
First Named Inventor/Applicant Name:	Yves Audebert
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Filer:	James Edward Ledbetter
Filer Authorized By:	
Attorney Docket Number:	JEL L741.01110
Receipt Date:	12-MAY-2009
Filing Date:	30-NOV-2001
Time Stamp:	17:50:17
Application Type:	Utility under 35 USC 111(a)

Payment information:	
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	EOT.pdf	46431	no	1
			6ad7d67110b56d90440c96d10aa5812a4d64a811		

### Warnings:

### Information:

2	Request for Continued Examination (RCE)	RCE.pdf	85987	no	1
			11db851c6927db4fee900613040e23207f971a2a		

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### Information:

3	Amendment Submitted/Entered with Filing of CPA/RCE	Resp.pdf	98402	no	4
			3243d02f87c0a9b30f10e54cf6bbcd5e835c4522		

### Warnings:

### Information:

4	Fee Worksheet (PTO-875)	fee-info.pdf	32050	no	2
			9f6280077ce4cc2c2afab2412640d3cd0ba6cbee		

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Total Files Size (in bytes):			262870
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.